

after impaction and consequent counterclockwise rotation of the mandible after advancement, what significantly improved the patient's facial pattern. The partial superimposition of the maxillary tracings revealed an improvement in the position of the maxilla and consequent position of the incisors, in addition to the intrusion and mesial movement of molars. For the mandible, partial superimposition showed the extrusion and mesial movement of the molars, and the incisors remained in their position in relation to the bone base (Fig 20). Four years after orthodontic retreatment, the results remain stable and the patient continued to report high levels of satisfaction with the multidisciplinary treatment outcomes (Fig 21).

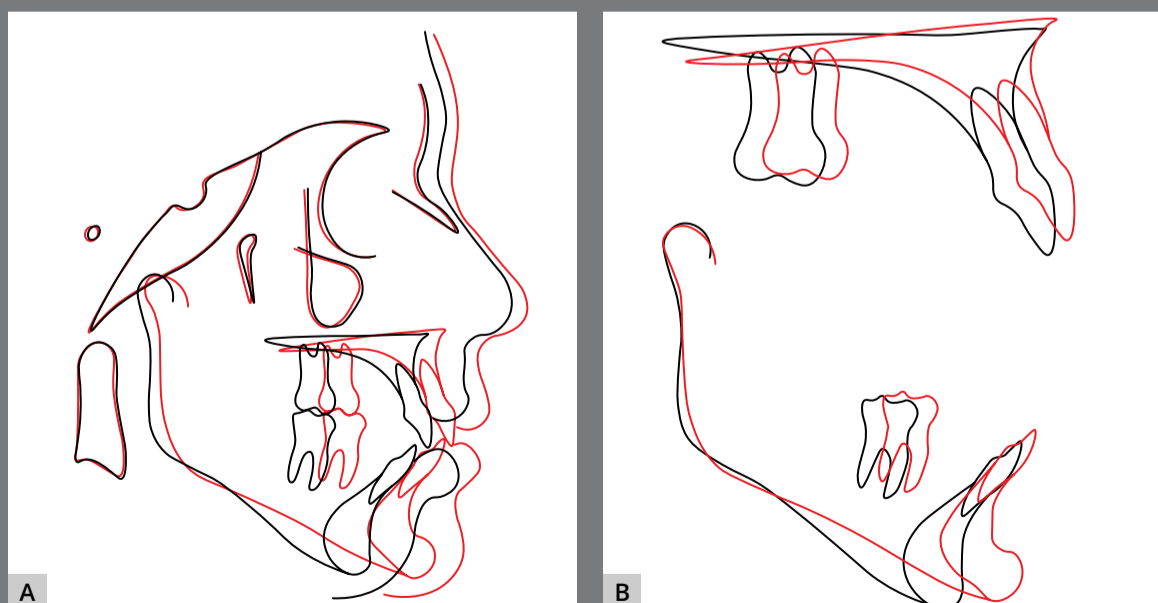


Figure 20: Initial (black) and post-treatment (red) total (A) and partial (B) superimpositions of cephalometric tracings.



Figure 21: Four years after debonding, the patient's occlusion remained stable.

DISCUSSION

When we talk about health, we understand that it is a state of broad subjectivity, so when the subject extends to oral health, this is no different.^{16,17} Locker¹⁸ determined oral health as a condition that contributes to physical, psychological and social well-being. Therefore, the individuals would be able to eat,

communicate, relate to their peers and consequently, exercise their roles in society without feeling uncomfortable nor embarrassed.¹⁸

Oral health-related quality of life (OHRQoL) has been assessed as the evaluation of the impact of oral conditions on individuals' quality of life and well-being.¹⁹ OHRQoL is a multidimensional concept, which allows researchers and practitioners to understand the effects of oral outcomes on people's life dimensions, such as symptoms, functioning, emotional and social well-beings.²⁰ The increased demand for orthodontic treatment with fixed appliances in the general population has been reported in recent years.^{3,19,21} This interest has been justified, in particular, by the population's growing access to dental services. Furthermore, an increasing number of individuals have made the association between poor oral health and psychosocial problems, which characterizes this population's understanding of their oral problems.^{2,4}

However, one of the great debates in adult Orthodontics regards the challenges associated with long-term post-treatment stability. A large amount of evidence has demonstrated that even when the orthodontist is able to achieve good occlusion, relapse is a matter.^{5,11,22} The scenario becomes worse if iatrogenic issues take place and the orthodontist is inattentive to adequate canine and lateral guidance, as well as appropriate alignment and intercuspation during orthodontic finishing.^{5,23}

The literature seems to recognize that individuals seeking orthodontic retreatment present themselves disappointed and demotivated during their appointments with the orthodontist.^{12,13,24} However, the well-being of these individuals and the impact of orthodontic retreatment on OHRQoL have been not been fully discussed in the literature.¹² The two cases reported in this paper represent good examples of how orthodontic retreatments that achieve well-planned goals may significantly improve the patients' self-esteem. We can see the positive effect of orthodontic therapy on both the functional and aesthetic aspects of both patients, and this in fact results in a positive impact on the quality of life after a second orthodontic intervention. This is because it is known that oral health problems are directly related to negative self-perception of appearance, leading to deterioration of emotional and social behavior.^{25,26}

Despite the self-reported perception and complaint of these individuals regarding their dental problems, the willingness to undergo orthodontic treatment again may lead to insecurity and uncertainties. Anxiety levels of individuals who are about to begin orthodontic treatment are high and probably negatively influence health-related quality of life.^{27,28} On the other hand, the encouragement and the positive reinforcement that comes from the orthodontist may be helpful for the individual in overcoming his/her negative perception and, ultimately, decides to undergo orthodontic retreatment.^{23,29}

CONCLUSION

The population's growing search for orthodontic treatment is of great importance and interest for orthodontists, however we must be aware of the patient's interests and especially of our capacity to perform treatments that bring positive results to their demands. Listening to the patient and knowing the best time for the intervention is certainly the best path for the success of orthodontic treatment, thus avoiding the need for new future interventions.

Acknowledgments

The authors would like to thank the *Coordenação de Aperfeiçoamento de Pessoal de Nível Superior* (CAPES) for supporting Dr. Laíze Rosa Pires during her PhD studies at PUC Minas (CAPES, Code - 001).

The authors also wish to express their gratitude and acknowledge the oral maxillofacial surgeon Dr. Antônio Albuquerque de Brito and the otolaryngologist Dr. Paulo Henrique Rodrigues, who participated in the multidisciplinary planning and treatment of the second patient presented in this paper.

AUTHORS' CONTRIBUTIONS

Laíze Rosa Pires Freitas (LRPF)

Dauro Douglas Oliveira (DDO)

Conception or design of the study:

LRPF, DDO.

Data acquisition, analysis or interpretation:

LRPF, DDO.

Writing the article:

LRPF, DDO.

Critical revision of the article:

LRPF, DDO.

Final approval of the article:

LRPF, DDO.

Fundraising:

LRPF, DDO.

Overall responsibility:

DDO.

Patients displayed in this article previously approved the use of their facial and intraoral photographs.

The authors report no commercial, proprietary or financial interest in the products or companies described in this article.

REFERENCES

1. Sischo L, Broder HL. Oral health-related quality of life: what, why, how, and future implications. *J Dent Res*. 2011 Nov;90(11):1264-70.
2. Tang X, Cai J, Lin B, Yao L, Lin F. Motivation of adult female patients seeking orthodontic treatment: an application of Q-methodology. *Patient Prefer Adherence*. 2015 Feb 9;9:249-56.
3. Isiekwe GI, Sofola OO, Onigbogi OO, Utomi IL, Sanu OO, da Costa OO. Dental esthetics and oral health-related quality of life in young adults. *Am J Orthod Dentofacial Orthop*. 2016 Oct;150(4):627-36.
4. Nascimento VC, Conti ACCF, Cardoso MA, Valarelli DP, Almeida-Pedrin RR. Impact of orthodontic treatment on self-esteem and quality of life of adult patients requiring oral rehabilitation. *Angle Orthod*. 2016 Sep;86(5):839-45.
5. Neely ML, Miller R, Rich SE, Will LA, Wright WG, Jones JA. Effect of malocclusion on adults seeking orthodontic treatment. *Am J Orthod Dentofacial Orthop*. 2017 Dec;152(6):778-87.
6. Silva I, Cardemil C, Kashani H, Bazargani F, Tarnow P, Rasmusson L, et al. Quality of life in patients undergoing orthognathic surgery - a two-centered Swedish study. *J Craniomaxillofac Surg*. 2016 Aug;44(8):973-8.
7. Giddon DB. Orthodontic applications of psychological and perceptual studies of facial esthetics. *Semin Orthod*. 1995 Jun;1(2):82-93.

8. Varela M, García-Camba JE. Impact of orthodontics on the psychologic profile of adult patients: a prospective study. *Am J Orthod Dentofacial Orthop.* 1995 Aug;108(2):142-8.
9. Kang JM, Kang KH. Effect of malocclusion or orthodontic treatment on oral health-related quality of life in adults. *Korean J Orthod.* 2014 Nov;44(6):304-11.
10. Santos PR, Meneghim MC, Ambrosano GM, Vedovello Filho M, Vedovello SA. Influence of quality of life, self-perception, and self-esteem on orthodontic treatment need. *Am J Orthod Dentofacial Orthop.* 2017 Jan;151(1):143-7.
11. Nanda RS, Nanda SK. Considerations of dentofacial growth in long-term retention and stability: is active retention needed? *Am J Orthod Dentofacial Orthop.* 1992 Apr;101(4):297-302.
12. Palomares NB, Celeste RK, Miguel JA. Impact of orthosurgical treatment phases on oral health-related quality of life. *Am J Orthod Dentofacial Orthop.* 2016 Feb;149(2):171-81.
13. Chow L, Goonewardene MS, Cook R, Firth MJ. Adult orthodontic retreatment: a survey of patient profiles and original treatment failings. *Am J Orthod Dentofacial Orthop.* 2020 Sep;158(3):371-82.
14. Ren Y, Boxum C, Sandham A. Patients' perceptions, treatment need, and complexity of orthodontic re-treatment. *Eur J Orthod.* 2009 Apr;31(2):189-95.

15. Oliveira DD, Oliveira JHG, Drummond MEL, Seraidarian PI, Monnerat ME. Critérios objetivos de avaliação clínica para finalização ideal de casos tratados ortodonticamente. *Rev Clin Ortod Dental Press*. 2007 Nov;6(5):57-66.
16. Cohen LK, Jago JD. Toward the formulation of sociodental indicators. *Int J Health Serv*. 1976;6(4):681-98.
17. Thomson WM, Broder HL. Oral-Health-Related quality of life in children and adolescents. *Pediatr Clin North Am*. 2018 Oct;65(5):1073-84.
18. Locker D. Does dental care improve the oral health of older adults? *Community Dent Health*. 2001 Mar;18(1):7-15.
19. Cunningham SJ, O'Brien C. Quality of Life and Orthodontics. *Semin Orthod*. 2007 Jun;13(2):96-103.
20. Hassan AH, Amin Hel-S. Association of orthodontic treatment needs and oral health-related quality of life in young adults. *Am J Orthod Dentofacial Orthop*. 2010 Jan;137(1):42-7.
21. Gkantidis N, Christou P, Topouzelis N. The orthodontic-periodontic interrelationship in integrated treatment challenges: a systematic review. *J Oral Rehabil*. 2010 May 1;37(5):377-90.
22. Kokich VO Jr, Kiyak HA, Shapiro PA. Comparing the perception of dentists and lay people to altered dental esthetics. *J Esthet Dent*. 1999;11(6):311-24.
23. Farret MM. Orthodontic retreatment using anchorage with miniplate to camouflage a Class III skeletal pattern. *Dental Press J Orthod*. 2016 Jun;21(3):104-15.

24. Espeland LV, Stenvik A. Perception of personal dental appearance in young adults: relationship between occlusion, awareness, and satisfaction. *Am J Orthod Dentofacial Orthop.* 1991 Sep;100(3):234-41.
25. Klages U, Bruckner A, Guld Y, Zentner A. Dental esthetics, orthodontic treatment, and oral-health attitudes in young adults. *Am J Orthod Dentofacial Orthop.* 2005 Oct;128(4):442-9.
26. Sardenberg F, Oliveira AC, Paiva SM, Auad SM, Vale MP. Validity and reliability of the Brazilian version of the psychosocial impact of dental aesthetics questionnaire. *Eur J Orthod.* 2011 Jun;33(3):270-5.
27. Palomares NB, Celeste RK, Oliveira BH, Miguel JA. How does orthodontic treatment affect young adults' oral health-related quality of life? *Am J Orthod Dentofacial Orthop.* 2012 Jun;141(6):751-8.
28. Sari Z, Uysal T, Karaman AI, Sargin N, Ure O. Does orthodontic treatment affect patients' and parents' anxiety levels? *Eur J Orthod.* 2005 Apr;27(2):155-9.
29. Lin F, Ren M, Yao L, He Y, Guo J, Ye Q. Psychosocial impact of dental esthetics regulates motivation to seek orthodontic treatment. *Am J Orthod Dentofacial Orthop.* 2016 Sep;150(3):476-82.

Modified Arnold expander: an alternative for mandibular arch expansion

Ildu **ANDRADE JR.**¹ ✉

 <https://orcid.org/0000-0002-2921-2627>

Marco Aurélio Benini **PASCHOAL**²

 <https://orcid.org/0000-0002-3396-4688>

Natália Couto **FIGUEIREDO**³

 <https://orcid.org/0000-0001-6591-4872>

Submitted: August 3, 2021 • Revised and accepted: August 23, 2021

✉ andradei@musc.edu

How to cite: Andrade Jr. I, Paschoal MAB, Figueiredo NC. Modified Arnold expander: an alternative for mandibular arch expansion. Dental Press J Orthod. 2021;26(5):e21spe5.

(1) Medical University of South Carolina, Department of Orthodontics (Charleston/SC, USA). (2) Universidade Federal de Minas Gerais, Departamento de Saúde Bucal da Criança e do Adolescente (Belo Horizonte/MG, Brazil). (3) Pontifícia Universidade Católica de Minas Gerais Departamento de Ortodontia, (Belo Horizonte/MG, Brazil).

ABSTRACT

Introduction: Due to the anatomical constraints of the mandible, mandibular dental arch usually serves as a guideline to determine the required changes in the maxillary transverse dimension. The Schwarz appliance and the Lip Bumper are the traditional orthodontic appliances for mandibular arch expansion in patients with borderline amounts of crowding, and/or transverse discrepancy. However, they often require patient cooperation, which may be a concern for orthodontists in daily practice. **Objectives:** This article illustrates a simple fixed orthodontic device as an alternative to achieve mandibular arch expansion in patients with moderate tooth-size/arch-length discrepancy. The four reported cases refer to 8 to 10-year-old patients in the mixed dentition, with an Angle Class I or Class II malocclusion, transverse deficiency in both arches, moderate crowding and/or posterior crossbite, combined with compromised smile aesthetics. The patients were treated with rapid maxillary expansion (RME) using Hass expander appliance and the modified Arnold expander (MAE). **Conclusion:** This low-cost compliance-free orthodontic appliance provided dentoalveolar decompensation by means of uprighting the posterior teeth, with minimal or no adjustments during treatment. The final results were achieved in only three to four months, and fulfilled all treatment objectives, such as an increase in the arch perimeter and width, and a better teeth alignment.

Keywords: Malocclusion. Orthodontics, interceptive. Palatal expansion technique. Tooth crowding. Case reports.

RESUMO

Introdução: Devido aos limites anatômicos da mandíbula, a arcada dentária inferior geralmente serve como guia para determinar as alterações necessárias na dimensão transversal da maxila. O aparelho de Schwarz e o Lip Bumper são os aparelhos usados tradicionalmente para expansão da arcada inferior em pacientes com quantidades limítrofes de apinhamento e/ou discrepância transversal. No entanto, eles requerem a cooperação do paciente, o que pode ser uma preocupação para os ortodontistas na prática diária. **Objetivos:** O presente artigo ilustra uma alternativa diferente de aparelho fixo para se obter a expansão da arcada inferior em pacientes com discrepância moderada de tamanho dentário e/ou comprimento da arcada. Os quatro casos relatados referem-se a pacientes com 8 a 10 anos de idade, na dentição mista, com má oclusão de Classe I ou II de Angle, deficiência transversal em ambas as arcadas, apinhamento moderado e/ou mordida cruzada posterior, apresentando comprometimento da estética do sorriso. Os pacientes foram tratados com expansão rápida da maxila (ERM), usando aparelho expensor de Hass, e expensor Arnold modificado (EAM). **Conclusão:** O EAM, que é um aparelho de baixo custo e não depende da colaboração do paciente, promoveu uma descompensação dentoalveolar por meio da verticalização dos dentes posteriores, necessitando de mínimo ou nenhum ajuste durante o tratamento. Os resultados pretendidos foram alcançados em três a quatro meses e cumpriram todos os objetivos do tratamento, como aumento do perímetro e largura da arcada, assim como o melhor alinhamento dos dentes.

Palavras-chave: Má oclusão. Ortodontia interceptora. Técnica de expansão palatina. Relatos de casos.

INTRODUCTION

The transverse dimension and shape of both dental arches varies widely between individuals, according to dental alignment, tooth shape and size, musculature, jaw size and shape, facial and cranial patterns and the dental occlusion.¹ The transverse discrepancy between the maxillary and mandibular arches is one of the most commonly seen malocclusions in the primary and mixed-dentition stages.² The prevalence of posterior crossbite is 14% in the primary dentition and 8% in the mixed dentition.³ These patients may present narrow posterior transarch widths, related crowding, wide buccal corridors, and decreased anterior arch contour.⁴ However, although the constriction of the jaw bones is frequently associated to posterior crossbite, this is not a mandatory condition, considering that the maxilla and mandible can be dentoskeletal compensated in order to maintain jaw relationships with function,^{5,6} In other words, patients without posterior crossbites can have significant transverse discrepancies that might need treatment.

EFFECTS OF RAPID MAXILLARY EXPANSION (RME)

The transverse malocclusions do not self-correct without treatment, and the expansion of one or both arches is widely recommended, especially during the mixed-dentition period.^{7,8} The ideal goal of RME is to achieve minimal dental and maximum orthopedic effect.⁹ Different studies have reported that it affects the circummaxillary sutures, specifically the midpalatal

one, compresses the periodontal ligament, bends the alveolar processes and induces a buccal tipping of the anchoring teeth, among other skeletal and dental effects.¹⁰⁻¹² The transverse expansion will result in varied intra-arch dimensional changes, in addition to potentially altering the occlusal relationships in the three planes of space. It has been shown that RME therapy can increase the maxillary arch perimeter by 0.7 mm for every millimeter of posterior expansion^{7,12}. However, it is noteworthy that the amount of expansion created by a given RME protocol is variable and relies on the goals of the orthodontist. As an example, Haas recommends opening the expander to the full extent of the screw (10.0 to 10.5 mm), thereby maximizing the increase in arch width.^{7,9,10} Other study¹³ demonstrated that patients who were treated with RME during the mixed-dentition phase followed by fixed appliances had a maxillary arch perimeter 2.7 mm larger and a mandibular arch perimeter 2.0 mm larger, in comparison to non-treated patients (by spontaneous mandibular intermolar expansion).

MANDIBULAR EXPANSION AND WALA RIDGE

In order to correct these transverse deficiencies and maximize the RME, the mandibular expansion can be a meaningful tool, particularly in cases of mild to moderate discrepancy between tooth size and arch length.⁷ However, gaining space in the mandibular arch has been considered as a limiting factor, by anatomic reasons and due to the belief that the expansion is not stable. Housley et al.¹⁴ demonstrated that an increase in mandibular arch width of 1.52mm in permanent canines, 2.11mm in first premolars, 2.12mm in second premolars, and 0.92mm in permanent first molars, carried out with an expanding lingual arch appliance, relapsed in 0.8mm, 0.72mm, 0.67mm and 0.15mm, respectively, after a mean postretention period of 6 years and 3 months (\pm 2 years and 4 months). Nevertheless, the mean pretreatment age in this study was 12 years and 5 months, and most patients were in permanent dentition. Despite the noted relapse effect, particularly in the anterior arch region, it can be speculated that the transverse expansion performed in the deciduous or early mixed dentition may present a different behavior. Early widening of the dental arches might positively influence the subsequent growth and development of bone jaws, besides a favorable adaptation of the muscular environment, which can alter the eruptive paths of the permanent teeth in a buccal direction.¹⁵

Furthermore, it has been reported that the mandibular arch form has a correlation to the shape of the underlying basal bone, which can potentially be used as a reliable diagnostic reference for determining the best position of the mandibular teeth, providing a more stable orthodontic treatment outcome.^{1,16} With that purpose, Andrews and Andrews¹⁷ proposed the WALA ridge as an anatomic reference on the mandibular alveolar process that demarcated the soft-tissue band immediately superior to the mucogingival junction,¹⁸ which is located close to the same vertical level as the horizontal center of rotation of each tooth.¹⁹ The WALA ridge is easy to identify and might be clinically useful for individualizing dental arch shape²⁰ (Fig 1).

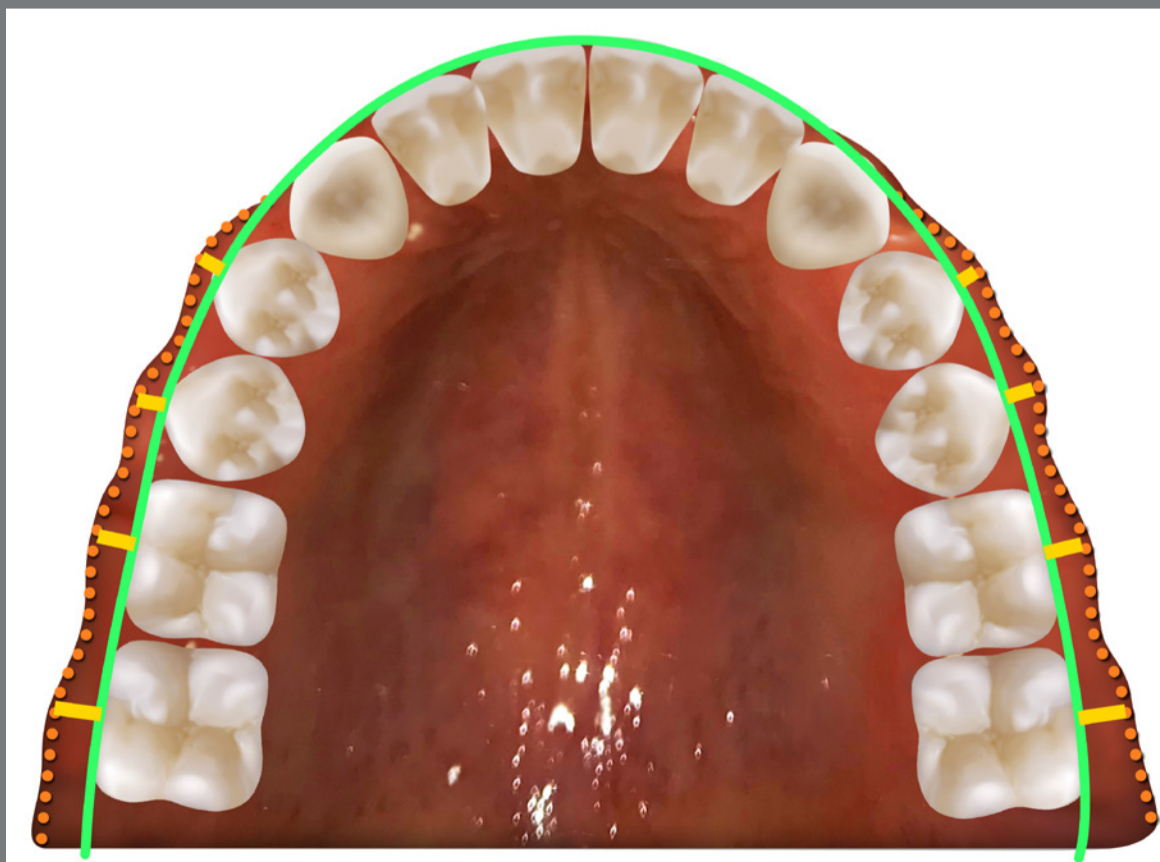


Figure 1: Representation of the individualized dental arch form (green line) according to the WALA ridge (orange dotted lines). The yellow lines represent the average distances between the facial axis-WALA ridge, which is gradually increased in the posterior sites.

Typical treatment protocols for patients needing mandibular expansion are the removable mandibular Schwarz appliance²¹ and the Lip Bumper.²² The protocol may begin with maxillary expansion or mandibular decompensation. The Schwarz appliance, which is usually activated once a week for approximately 5 to 6 months, provides a dentoalveolar decompensation of the mandibular arch, establishing a “reference” arch width to which the maxillary arch can be expanded.²³ Later on, the Schwarz appliance should be worn full-time as a passive retainer until the maxillary expander is removed. The primary purpose of the Lip Bumper is to reduce dental arch crowding²⁴ through an increase in arch width and length,^{25,26} by altering the equilibrium between lips, cheeks, and tongue.^{27,28} However, as removable appliances, the expansion rate is slow, due to problems with retention and compliance, which might be an important clinical drawback.^{29,30}

MODIFIED ARNOLD EXPANDER (MAE)

An interesting device to overcome these issues is the Arnold expander, which became popular in the 1970s by Berkowitz³¹ as a way to produce slow expansion of the maxillary or mandibular arches, especially in cleft-palate patients, as a non-compliance alternative solution for the correction of tooth size/arch length discrepancy.³² However, its asymmetric expansion, difficulties

of cleaning the exposed open coil, and common tongue injuries have discouraged the use of this appliance. Thus, in the present article, four cases treated in a private office will be presented, in which a modified Arnold expander (MAE) overcame these issues and promoted an increase in the transverse dimension of the mandibular arch in a quick and cheap way. This device has a split lingual frame, a 0.040-in stainless steel tube that was welded to the lingual side of the permanent first molar band and a 0.038-in stainless steel wire welded to the opposite molar band. In both sides, the structure runs lingual to the deciduous molars and canines, and turns at a 90° angle at the midpoint of the canine. The two parts fit together, with the wire sliding through the tube at the midline, like a telescopic system (Fig 2). A nickel-titanium (NiTi) open-coil spring (0.010 x 0.030-in, G&H Orthodontics, Franklin, IN, USA) is interposed among the two parts inside of the tube (Fig 2B). Seating the device compresses the coil spring and activates it for expansion.

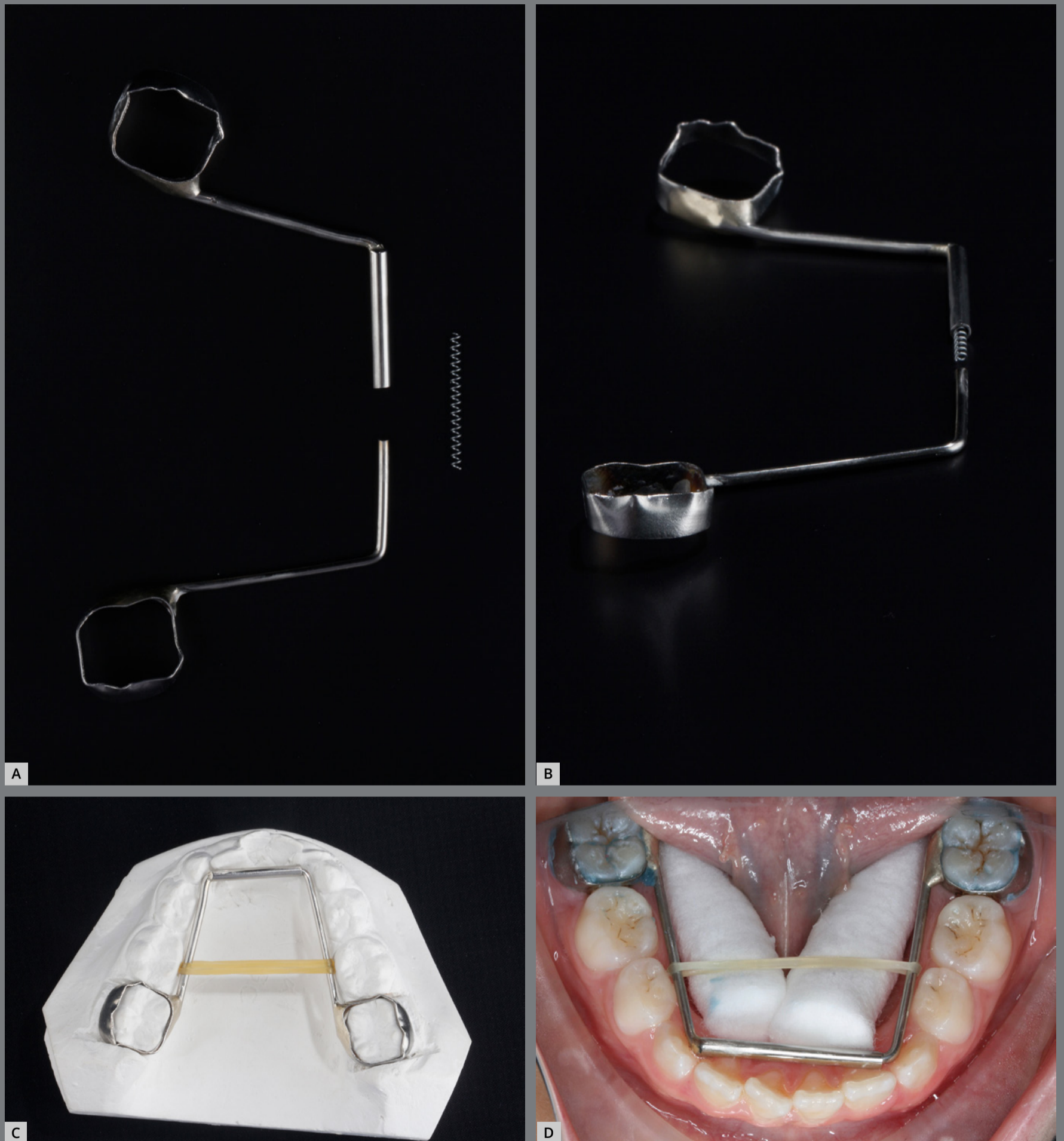


Figure 2: Modified Arnold expander. **A)** Component parts of the appliance. **B)** Nickel-titanium open-coil spring inside the tube, before connecting the appliance's parts. **C, D)** Inserted device, with elastic holding the two segments together.

CASE REPORTS

CASE 1

A healthy 8-year-old girl, with a chief complaint of crowding, was referred to the office for orthodontic evaluation. There was no history of dental trauma or oral habits. Pretreatment facial analysis revealed a symmetrical face, proper vertical ratio, competent lips, and a slightly convex profile (Figs 3A and 3B). Her smile aesthetics was compromised due to the greater exposure of mandibular teeth and asymmetric buccal corridors. The intra-oral examination revealed a Class I molar relationship on both sides. The patient was at the beginning of the mixed dentition, presenting a poor prognosis for the eruption of the maxillary and mandibular lateral incisors. Both arches were constricted, with a deep palatal vault and a moderate negative space discrepancy (Figs 3C - 3F).

The orthodontic treatment objectives for the first phase were to: (1) increase the transverse dimension of both arches; (2) resolve crowding and obtain space for the alignment of permanent teeth; (3) improve the smile aesthetics; and (4) maintain the facial balance. The treatment plan included a RME with a Haas expander appliance, combined with a mandibular expansion by means of a MAE.

After four months of treatment, there was a noticeable increase in the lip intercommissural distance and a significant improvement in smile aesthetics, due to the increased maxillary incisors display and harmonic buccal corridors (Figs 3G - 3H). A better arch form, with an increase in the arch perimeter, was achieved (Figs 3I - 3L). The Haas expander was placed on the deciduous second molars and activated with a $\frac{1}{4}$ turn once a day, during 20 days, until 4 and 5 mm of transversal expansion was obtained in the intermolar and intercanine width, respectively. The MAE was installed in the mandibular permanent first molars (Fig 3J). To facilitate the insertion, an $\frac{1}{4}$ -in orthodontic elastic should join the two sections together during installation (Figs 2C - 2D). To avoid displacement of the anterior part during treatment and promote stability of segments, both sides can be bonded to deciduous canines or deciduous first molars with flowable composite.

In the occlusal view, the gain in intercanine and intermolar widths (5 mm between the permanent mandibular first molars and 7 mm between the deciduous mandibular first molars) was remarkable in both arches, with a considerable uprighting of the mandibular molars in the transverse plane (Figs 3D, 3F, 3J, 3L). When comparing the facial parameters, treatment objectives were achieved, with excellent esthetic and functional results.

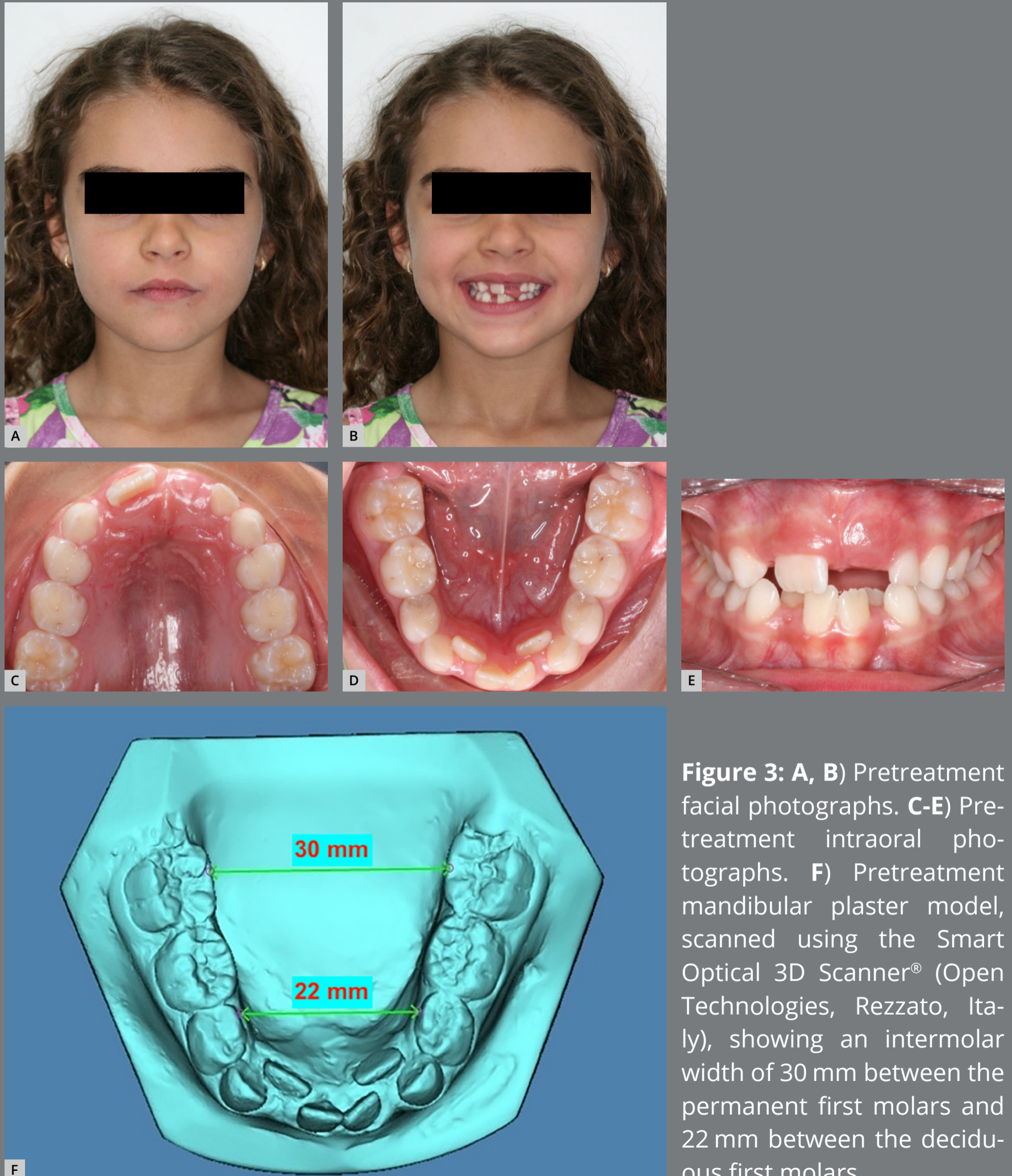


Figure 3: A, B) Pretreatment facial photographs. C-E) Pretreatment intraoral photographs. F) Pretreatment mandibular plaster model, scanned using the Smart Optical 3D Scanner® (Open Technologies, Rezzato, Italy), showing an intermolar width of 30 mm between the permanent first molars and 22 mm between the deciduous first molars.



CASE 2

An 8-year-old boy, with the chief complaint of chewing impairment, was referred to orthodontic treatment. He was in good general health, with no systemic or congenital disease. Pretreatment facial analysis revealed a slightly convex profile, a mild facial asymmetry, with a mandibular deviation of 3 mm to the right, and lip competence. The patient was at the beginning of a mixed dentition phase, with an Angle Class I malocclusion, unilateral posterior crossbite, and transverse deficiency in both arches (Figs 4A - 4D).

Hence, the aims of the treatment were to expand the maxillary and mandibular arches in order to gain space, correct the posterior crossbite, and obtain normal overjet, overbite, and incisor inclinations. The RME was performed with a Haas expander appliance. The parents were advised to activate the screw by $\frac{1}{4}$ turn per day during 28 days, when an excellent orthopedic response was verified (increase of 5 mm in the intermolar width, and 6 mm in the intercanine width), resulting in the crossbite correction (Figs 4E and 4F). Later on, the MAE was inserted, which was maintained in place for four months. At the end of this period, a significant space gain in arch perimeter (2 mm on both sides of both dental arches) could be observed in the post-treatment photographs (Figs 4G and 4H). After four months of treatment, there was a significant improvement in smile aesthetics due

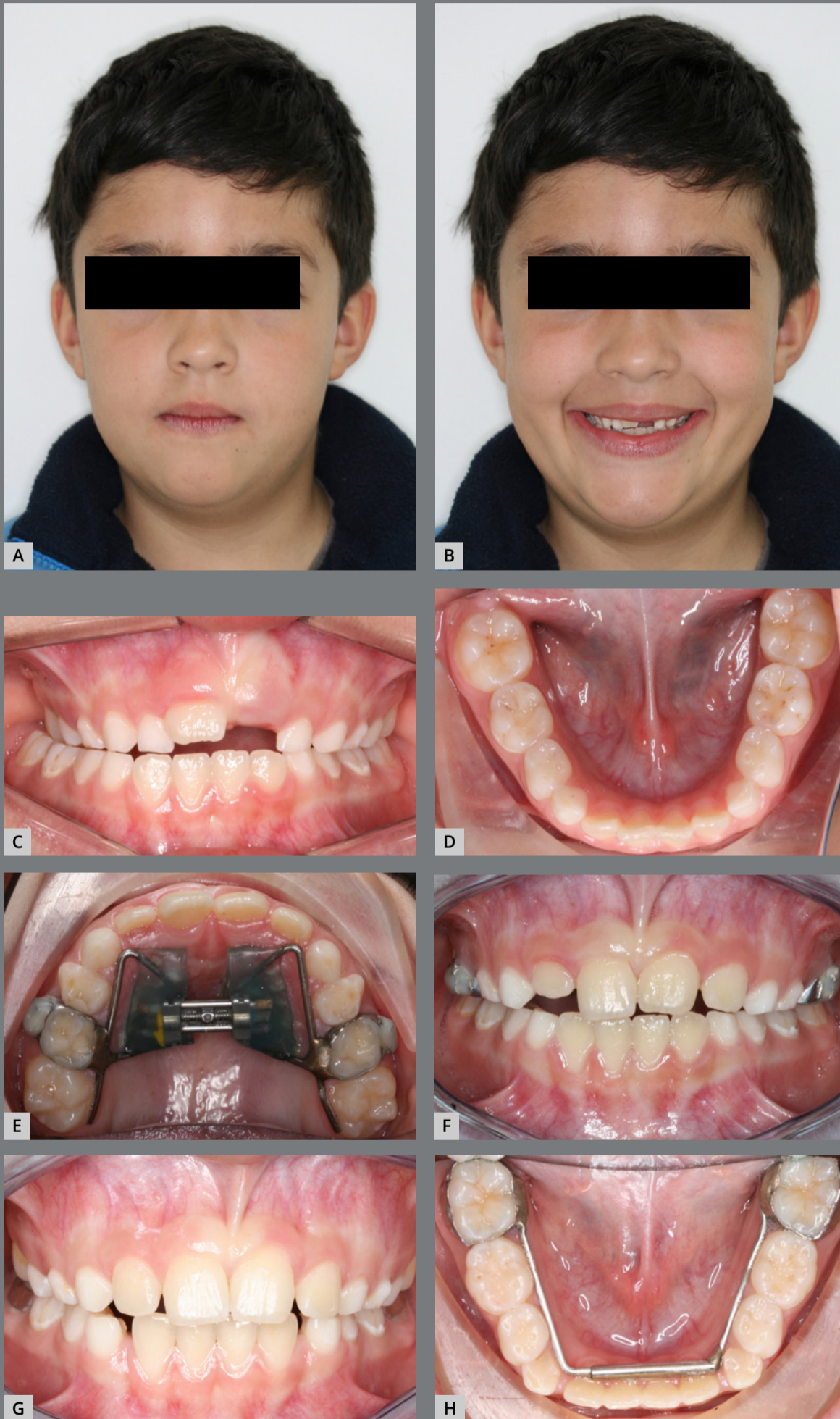


Figure 4: A,B) Pretreatment facial photographs. C,D) Pretreatment intraoral photographs. E,F) Progress intraoral photographs, showing the posterior crossbite correction with the Haas expander appliance. G,H) Post-treatment intraoral photographs. A 2-mm space was gained on both sides of the mandibular arch due to the MAE.

to the increased maxillary incisors display and harmonic buccal corridors (Figs 4I and 4J). Upon conclusion of the first phase of orthodontic treatment, an Angle Class I molar relationship was maintained, with normal overjet and overbite. Moreover, the appliances promoted space gain that will accommodate the permanent teeth and provide good dental intercuspation, with the correction of the posterior crossbite. The treatment resulted in significant improvements in dental alignment and smile.



Figure 4 - continuation: I,J) Post-treatment facial photographs, showing significant improvement in smile esthetics. It is possible to notice greater exposure of the maxillary incisors and harmonic buccal corridors.

CASE 3

A 10-year-old girl, seeking orthodontic treatment for correction of a skeletal Class II malocclusion characterized by a deep overbite that was associated to a mandibular right central incisor trauma, which was causing a gingival recession. Pretreatment examination revealed a late mixed dentition stage of development, with moderate mandibular incisor crowding and arch length deficiency in the maxillary arch (Figs 5A - 5H).

The molar relationship was full-cusp Class II on the left side and end-to-end Class II on the right. The maxillary incisors were protruded, and the mandibular ones were somewhat upright in appearance. A severe deep overbite of approximately 6 - 7 mm was noted and the overjet was measured at 5 mm. The maxillary midline was deviated to the right.

The profile photograph shows a convex profile with normal facial thirds. Lip line, upper lip length, and nasolabial angle were all considered normal. Skeletal analysis, as obtained by cephalometry, shows a skeletal Class II facial pattern. The treatment objectives for this first phase treatment were as follows: (1) Achieve a Class I molar relationship; (2) gain space in the maxillary arch for the canines and for the correction of the maxillary incisor proclination; (3) eliminate the lower incisor trauma; and (4) reduce skeletal disharmony to improve the facial profile.



Figure 5: Pretreatment photographs: **A-C)** facial photographs; **D-H)** intraoral photographs.

In order to achieve these objectives, a RME was promoted by means of a Hass palatal expander, followed by a MAE in the mandibular arch, in order to coordinate the transverse dimensions of the maxillary and mandibular arches (Fig 6). Furthermore, a cervical pull headgear was proposed to correct the sagittal discrepancy.

As instructed, the parents activated the screw by two turns per day during 10 days, when an excellent orthopedic response was verified (5-mm diastema between central incisors, with an increase of 4 mm in the intermolar width and 4.5 mm in the intercanine width). The MAE was kept in place for four months. At the end of this period, a significant improvement in the arch perimeter (4 mm on the maxillary arch and 3 mm in the mandibular arch) and width was obtained, as can be observed in the post-treatment photographs (Figs 6G and 6H). After four months of treatment, there was plenty of space for the maxillary canines (Fig 7), the mandibular incisor trauma was eliminated, and the gingival recession was improved (Fig 6E).



Figure 6: A-H) Progress records. **D-E)** Progress intra-oral photographs, showing the Haas expander and the Arnold expander appliances.



Figure 7: Progress panoramic radiograph.

CASE 4

A 9 year-old girl, with unilateral crossbite and lower midline deviation to the right side, sought orthodontic treatment. Pretreatment facial analysis revealed a slightly convex profile, a mild facial asymmetry, with a 2-mm mandibular deviation to the right side, and lip competence (Figs 8A - 8C). The patient was in the mixed dentition phase, with an Angle Class II malocclusion, unilateral posterior crossbite and transverse deficiency in both dental arches (Figs 8D - 8H).

The treatment plan for this first phase included the decompensation of the mandibular arch at the same time of the maxillary expansion. The MAE was placed first, and the Haas expander in the following month. The parents were advised to activate the screw by $\frac{1}{4}$ -turn twice a day during two weeks, and then $\frac{1}{4}$ -turn per day for a week, when an excellent orthopedic response was verified (diastema between central incisors of 5mm, with an increase of 4 mm in the intermolar width and 5 mm in the intercanine width), resulting in an increase in the transverse dimension of both arches and, consequently, correction of the crossbite (Fig 9). After five months of treatment, there was a significant improvement in smile aesthetics due to the increased maxillary incisors display and harmonic buccal corridors (Fig 10C). An Angle Class II molar relationship still persisted in both sides, but a significant improvement

was noted in the right side. The MAE promoted space gain that would, together with the Leeway space, accommodate the permanent teeth and could be used to mesialize the mandibular posterior teeth to achieve Class I relationship (Figs 10D -10H).



Figure 8: Pretreatment photographs: **A-C)** facial photographs; **D-H)** intraoral photographs.

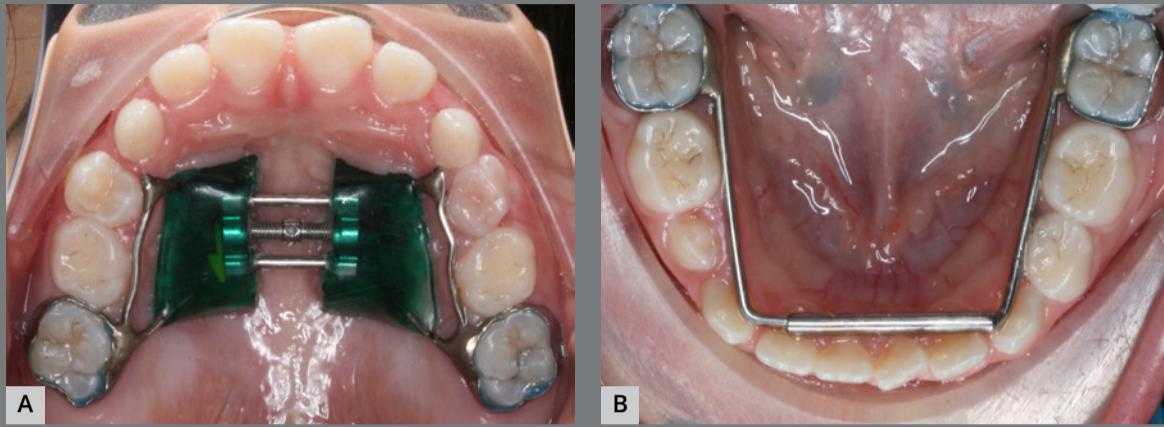


Figure 9: A-B) Progress photos of both arches.

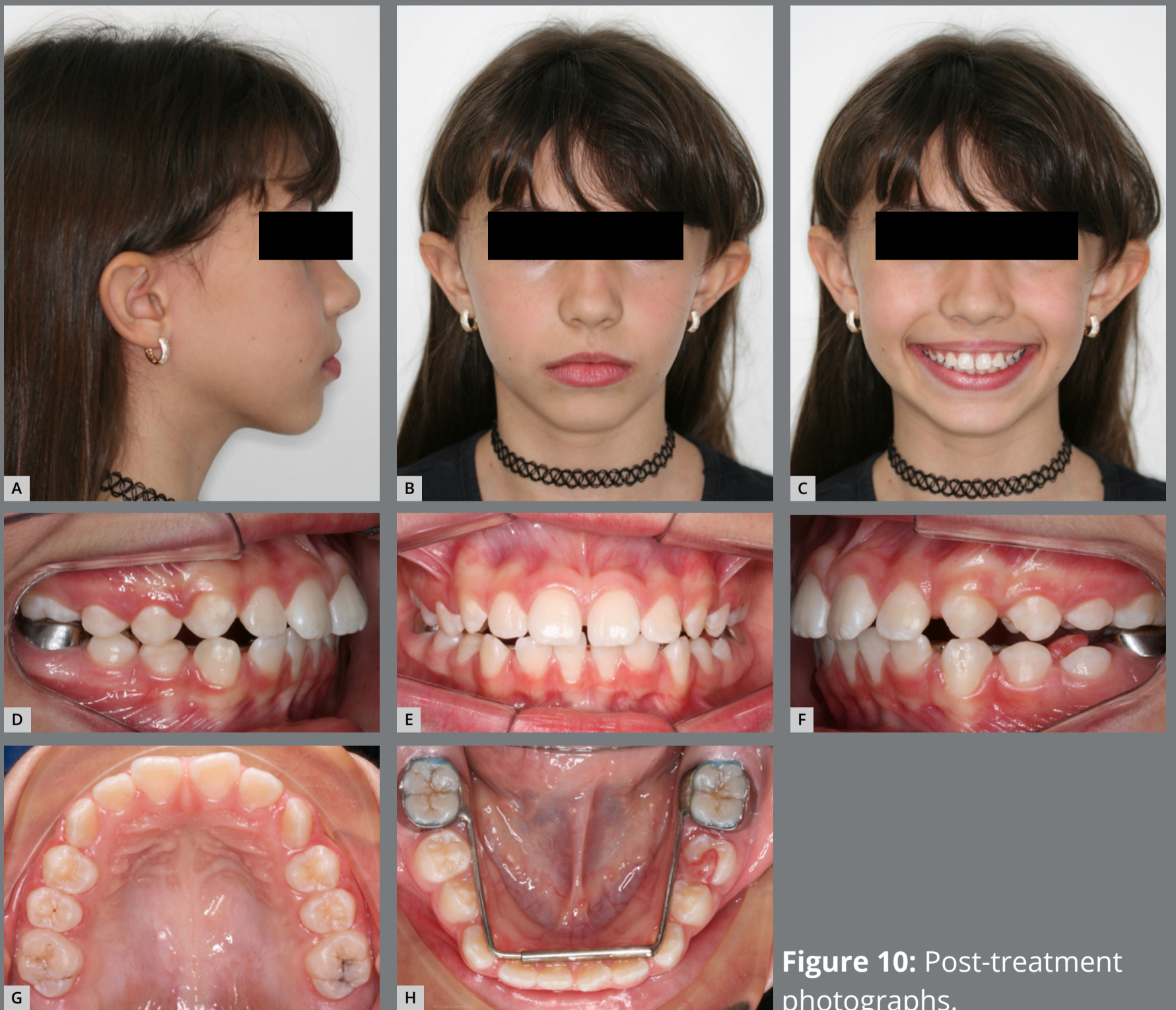


Figure 10: Post-treatment photographs.

DISCUSSION

The size and shape of the dental arches have an important effect on space available, stability of the dentition, and dental esthetics.¹⁹ Although most orthodontic treatment for transversal discrepancies focuses on the maxilla, it is important to recognize that dental compensations exist for both dental arches. Therefore, the orthodontist must be able to diagnose differentially the cause of any transverse discrepancy, and the presence or absence of posterior crossbite should not be used as a major and unique guide.³³

The correction of crowding in cases with tooth-size/dental arch length discrepancy might be a key factor when deciding between extraction and nonextraction orthodontic treatment. In order to achieve that, RME is often used during treatment, but mandibular arch widening has primarily been limited to uprighting of posterior teeth, since there is no midline suture, as in the maxilla.^{32,34} O'Grady et al.²¹ have reported that the RME-only protocol showed modest long-term increases in maxillary (2.6 mm) and mandibular arch perimeter (2.0 mm), with the latter not being statistically significant. Meanwhile, significant increases in the maxillary (3.8 mm) and mandibular (3.7 mm) arch perimeters were observed when the mandibular arch was also expanded with Schwarz appliance, when compared with the matched control group. Other studies

have found good clinical outcomes with mandibular expansion with Lip Bumper.^{22,35} Previous studies have shown that the greatest arch width gain occurs in the molar and premolar area and the smallest, in the canine area.³⁵ Lip bumper studies have shown increased mandibular perimeter of 4 - 5 mm, which was related to arch width³⁶ and arch length changes due to incisor proclination and molar distalization.²⁴

O'Grady et al.²¹ reported that mandibular Schwarz appliance combined to RME in the mixed dentition followed by comprehensive orthodontic treatment in permanent dentition induced significant increments in mandibular arch width (+2,6 mm for intermolar width and +2,1 mm for intercanine width). In post-retention evaluation, at least 3 years after the phase II treatment, the arch width decreased 0,3mm for intermolar width and 1,3mm for intercanine width, which means that 2,3mm of transversal gain in the molar region and 0,8mm in the canine region was maintained. In the study of Housley et al.,¹⁴ the patients treated with expanded lingual arch appliances in the maxillary and mandibular arches followed by fixed appliances presented a greater relapse in the intercanine width, which steady decreased posteriorly in the mandibular arch. Other post-retention studies reported decreases in the molar (0.6mm - 1.5mm), premolar (1.2 mm), and canine (0.4mm - 0.9mm) widths,^{22,37} which were

not clinically relevant. Although mandibular expansion has been discouraged by some authors due to potentially relapse effect,^{21,38} it has been showed that when a crowded mandibular arch is expanded before the eruption of the permanent teeth, the path of eruption of the mandibular permanent canines and premolars might be altered to an increased width.^{15,39} Moreover, the greatest growth changes in the dentoalveolar area occur during the eruption of permanent teeth.⁴⁰ In this way, it seems reasonable to take advantage of the eruption dynamics to potentially improve the development of the dentoalveolar area.

In the first three reported cases, the patients were first submitted to RME, until the lingual cusps of the maxillary posterior teeth contacted the buccal cusps of the mandibular posterior teeth (Figs 11A and 11B). Later on, the MAE was installed and provided a buccolingual decompensation of the posterior teeth and a proper intercuspation (Fig 11C). Once the ideal transversal relationship was achieved, and after the stabilization period, these appliances were replaced by a transpalatal arch (TPA) and a lingual arch in the maxillary and mandibular arches, respectively (Fig 11D).

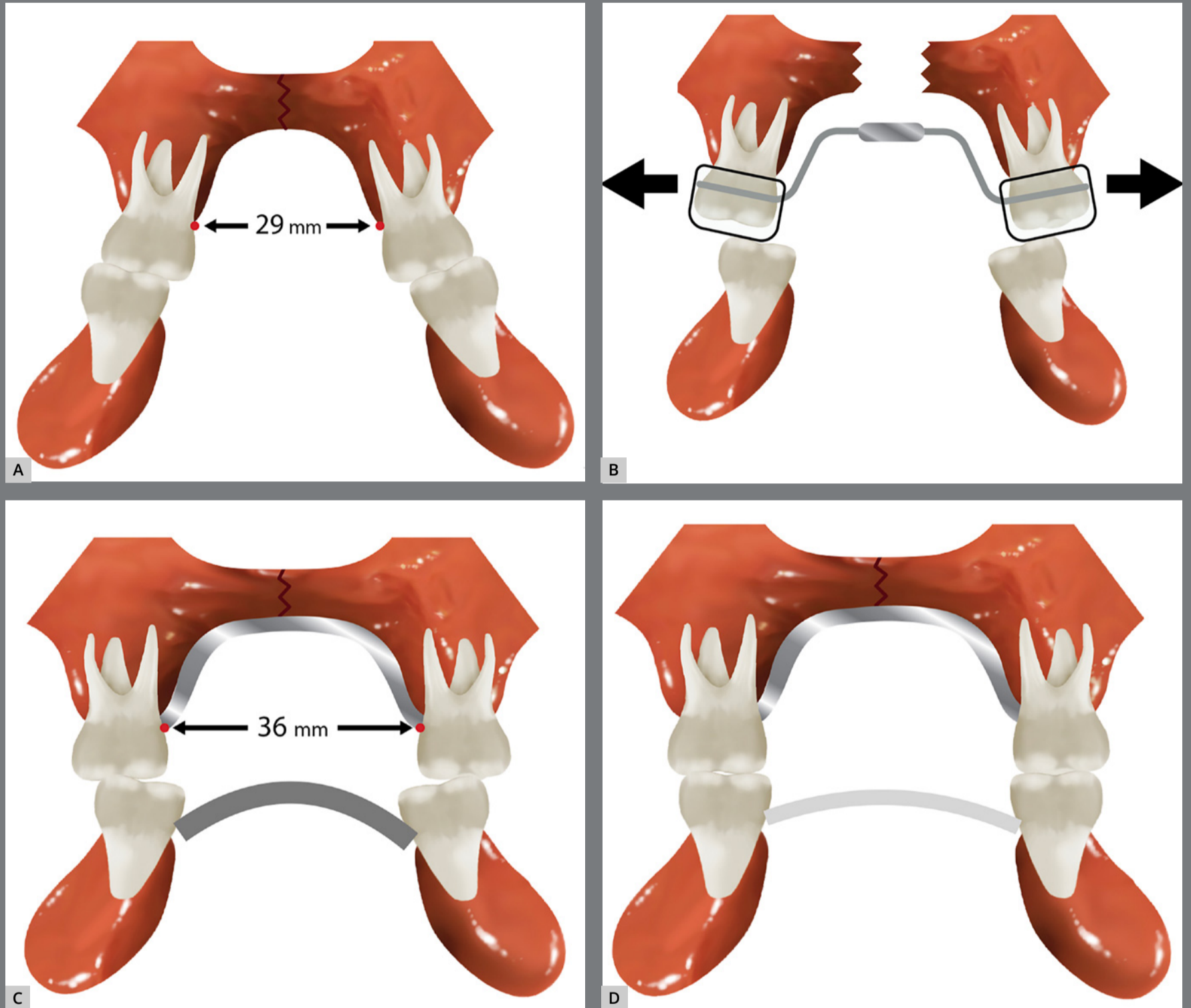


Figure 11: Expansion protocol. **A)** Maxillary narrow arch, combined with lingual inclination of the mandibular molars, as a compensatory effect. **B)** Orthopedic maxillary expansion. **C)** MAE placed in the mandibular arch to decompensate the posterior mandibular teeth. **D)** Ideal transverse skeletal and dental relationships of both arches, maintained by TPA and lingual arch.